

# Molecular Design of Dispersed Nickel Phthalocyanine@Nanocarbon Hybrid Catalyst for Active and Stable Electroreduction of CO<sub>2</sub>

Published as part of *The Journal of Physical Chemistry virtual special issue "Energy and Catalysis in China"*.

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Cite This: <https://doi.org/10.1021/acs.jpcc.1c02508>

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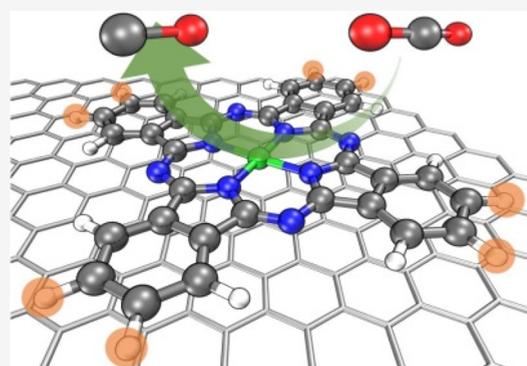
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**ABSTRACT:** The molecular catalyst/nanocarbon hybrid through  $\pi$ - $\pi$  stacking immobilization is an emerging family of single-atom catalysts with outstanding performance in electrocatalysis, well-defined active site, and tunability at molecular level through functional group substitution. In the present work, we provide a general strategy for the rational design of molecular single-atom catalyst in the form of nickel phthalocyanine@nanocarbon (NiPc@NC) for highly efficient electroreduction of CO<sub>2</sub> to CO. We employ density functional theory (DFT) calculations and state-of-the-art electronic structure analysis to explore the mechanism and substituent effects on structural stability, redox chemistry, adsorption properties, and molecule-substrate interactions of the NiPc catalyst. We have revealed that the electron-withdrawing groups facilitate the reductive activation of the catalytic Ni center but weaken the Ni-N bond strength and make the CO desorption sluggish, while the electron-donating groups do the opposite. A substituent-dependent correlation between interaction strength and electron transfer through the interface is also revealed by noncovalent interaction analysis and electron density difference projection. On the basis of the gained insights, we apply semiempirical quantum mechanical (SQM) calculation, machine learning (ML), and genetic algorithm (GA) to screening through the chemical space of ca. 10 trillion substituted NiPc molecules under a descriptor scheme to identify promising molecular candidates for the NiPc@NC hybrid material. The best candidate from GA search outperforms the state-of-the-art catalyst in terms of stability, reduction potential (improved by 110 mV), and interaction with substrate (strengthened by 0.46 eV). Design strategies are proposed based on the top-scoring molecules from computational screening, and the workflow is highly generalizable and transferable to similar molecular systems for other applications.



## INTRODUCTION

The climbing carbon emission worldwide has been intensifying the greenhouse effect which poses a threat to ecosystems and causes extreme weather conditions all over the globe.<sup>1</sup> It is more than crucial for humanity to develop a sustainable and environmentally friendly energy infrastructure which can properly close the carbon cycle of ecosystems. The CO<sub>2</sub> reduction reaction (CO<sub>2</sub>RR) is the most straightforward and scalable way to convert carbon dioxide into value-added chemicals using surplus renewable energy and hence is one of the most promising technologies in the field of environmental and energy science.<sup>2</sup> The gaseous products of such a reaction, including CO, CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, and so on, can be easily separated from the reaction system and are important building blocks of chemical industry and pharmaceuticals.<sup>3</sup> However, few electrocatalysts, other than noble-metal-based materials (e.g., Pt, Au, IrO<sub>2</sub>, and RuO<sub>2</sub>), can meet the demand of practical application in terms of kinetics, selectivity, and stability.<sup>3,4</sup> Therefore, it is critical to develop electrocatalysts based on earth-abundant transition metals (TM) with performance comparable to that of noble metals.

In the recent decade, the study of single-atom catalysts (SACs) is a rapidly growing hot spot in electrocatalysis.<sup>5-7</sup> By embedding transition metal atoms into a conducting matrix such as nanostructured carbon materials, the electrocatalysts can achieve atomic dispersion which not only drastically boosts the atomic efficiency but also provides a platform for mechanistic study utilizing operando characterization techniques.<sup>8-11</sup> However, those SACs are usually derived from the pyrolysis of a carbon-containing precursor or a wet impregnation method, which usually suffers from the sintering of metal atoms into clusters or nanoparticles (that requires an additional removal step).<sup>12</sup> Moreover, it is rather difficult to fine-tune the local electronic structure of the active site since

Received: March 19, 2021

Revised: May 17, 2021

there is not exact control of doping position in the preparation process.

In terms of an atomic-level understanding of the active site and manipulatable local environment, molecular systems, especially transition metal phthalocyanines and porphins, could outperform SACs since their geometric and electronic structures are more well-defined;<sup>13,14</sup> hence, one can make modifications to it by substituent or through-space effects based on an understanding of the electronic structure–activity relationship to improve its property toward a certain optimum, i.e., molecular engineering.<sup>15–18</sup> The concept has been widely applied to various energy applications including solar cells,<sup>19</sup> redox flow cells,<sup>20</sup> molecular photocatalysis,<sup>21</sup> and homogeneous electrocatalysis.<sup>22–24</sup> In the recent decades, with the development of low-cost quantum mechanical methods, researchers are able to simulate over-large molecular libraries by high-throughput virtual screening.<sup>25</sup> The low-cost quantum mechanical methods or predictive machine learning (ML) models, when coupled with a proper molecular representation and evolutionary algorithms, make extremely efficient possible optimization of a certain molecular property in any predefined chemical subspace, with minimal human interference.<sup>26</sup>

Although there has been exciting progress in rational or inverse design of molecular electrocatalysts, due to sluggish charge transfer kinetics with the electrode, efforts have been made to immobilize molecular electrocatalysts onto an electric conducting substrate to achieve heterogenization.<sup>27,28</sup> This family of heterogeneous molecular catalysts, also featuring atomically dispersed active sites, have been accepted as SACs.<sup>29,30</sup> However, many studies adopt covalent immobilization approaches which may introduce structural changes to the molecular catalysts. To avoid such an effect, immobilization through noncovalent  $\pi$ – $\pi$  stacking is one of the most successful techniques to anchor a macrocyclic metal complex on heterogeneous conducting carbon substrate. In 2017, Liang and co-workers reported the noncovalent immobilization of molecularly engineered cobalt phthalocyanine (CoPc) onto carbon nanotubes (CNTs) via  $\pi$ – $\pi$  stacking to achieve 98% faradaic efficiency (FE) toward a selective CO<sub>2</sub>RR to CO with superior activity.<sup>31</sup> Moreover, the noncovalent interaction it utilizes to achieve immobilization prevents the supported metal complex from structural changes, allowing preservation of the molecular integrity and fine-tuning of the electronic structure of the SA sites at atomic scale. In our recent work, a rationally designed nickel phthalocyanine (NiPc)-OMe@CNT hybrid achieved unit conversion to CO with high current density, and tetramethoxy substitution improves the stability of NiPc, which suffers from deactivation in its pristine form.<sup>32</sup> Recently, Liu and co-workers also demonstrated that NiPc-NH<sub>2</sub>@CNT to be an efficient and selective electrocatalysis for electrochemical reduction of CO<sub>2</sub> to CO.<sup>33</sup> The combined multiscale engineering approach provides a clear and efficient strategy toward the rational design of SAC for electrocatalytic applications and emerges as a promising platform for experimental and computational studies of electrocatalytic mechanisms.

In this study, we focus on the use of NiPc for CO<sub>2</sub>RR since its reaction pathway is not altered after substitution with even strong electron-withdrawing groups (EWG) and electron-donating groups (EDG), unlike CoPc which branches into a CH<sub>4</sub> pathway when EDG is introduced.<sup>34</sup> The reaction mechanism of the CO<sub>2</sub>RR to CO on NiPc is discussed with density functional theory (DFT) calculations. The bonding

mode and interaction between adsorbate and nickel center are investigated in depth with extensive wave function analysis and energy decomposition analysis. After understanding the molecular system, we take a few steps further into the realistic realm to evaluate the effect of interaction between NiPc and the nanocarbon substrate and the through-space electron transfer across the  $\pi$ – $\pi$  stacking interface. On the basis of these understandings, we performed computational screening combining genetic algorithm (GA), semiempirical quantum mechanical (SQM) calculation, and a deep neural network (NN) model to explore the chemical space of substituted nickel phthalocyanines. The top-scoring candidate molecules are reported, and several design strategies are concluded from the computational screening.

## METHODS

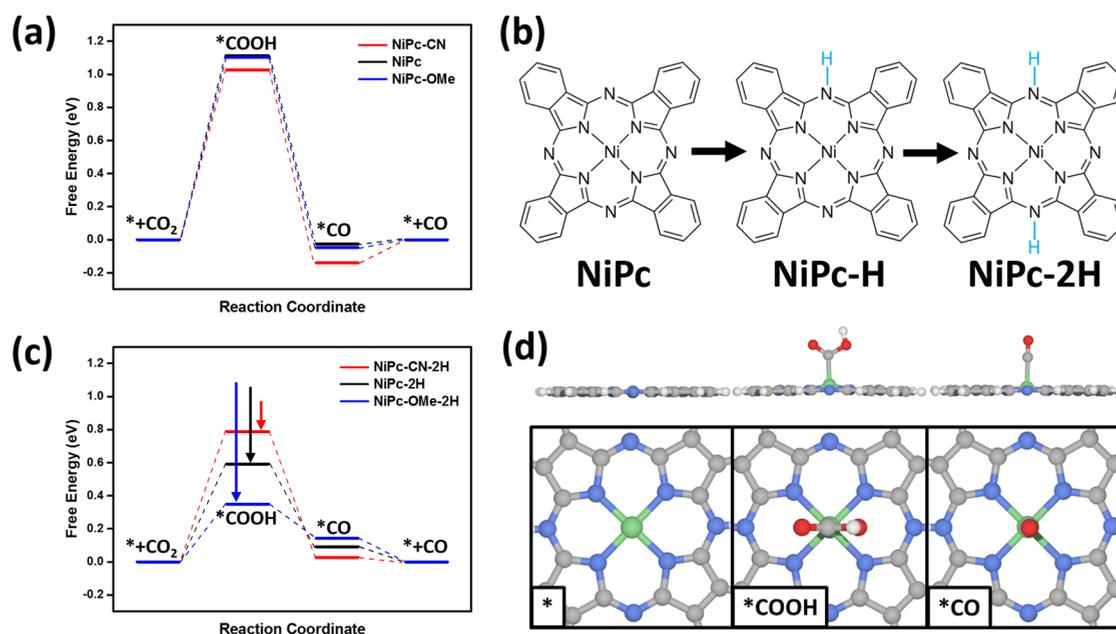
The CO<sub>2</sub>RR is studied under the computational hydrogen electrode (CHE) scheme<sup>35</sup> where the electron and proton transfer steps are treated as coupled, and the zero voltage is defined based on the reversible hydrogen electrode under standard condition. The effect of applied bias on a proton–electron transfer step is represented by adding a  $-eU$  term to the standard  $\Delta G$ . The CO<sub>2</sub>RR pathways are listed below (where \* stands for the active site):



DFT calculations on isolated molecules (nickel phthalocyanines, H<sub>2</sub>, H<sub>2</sub>O, CO<sub>2</sub>, and CO) are performed using Gaussian 16, A.03 edition.<sup>36</sup> PBE0 functional<sup>37</sup> with D3 correction (Becke–Johnson damping)<sup>38</sup> is adopted for its robustness and dispersion corrections which make it widely accepted as proper functional to study reaction energetics of transition metal complexes. The Stuttgart–Dresden (SDD) basis set, containing all double- $\xi$  valence with effective core potentials (ECPs), is employed for transition metal atoms (Ni).<sup>39</sup> For all other main group elements (H, C, N, and O), the all-electron 6-31G\* basis set<sup>40–42</sup> was used. Harmonic vibrational frequencies were computed to make sure that all reaction intermediates have no imaginary frequency. The Gibbs free energies are calculated with the harmonic potential approximation at optimized structures at 298.15 K and 1 atm. Solvation free energies are calculated by the implicit SMD model<sup>43</sup> with M05-2X functional<sup>44</sup> and 6-31G\* basis set, which is the level of theory where the SMD is parametrized against experimental data sets,<sup>45</sup> with water as the solvent.

MO analysis, generalized charge decomposition analysis, noncovalent interaction analysis, and electrostatic potential mapping are performed using Multiwfn on the previously obtained wave functions from DFT calculation.<sup>46</sup> Symmetry-adapted perturbation theory (SAPT)<sup>47</sup> calculations with restricted open-shell Hatree Fock (ROHF) reference are performed using PSI4 package<sup>48</sup> to decompose the interaction between the two fragments into components of physical meaning. For a better description of weak interactions while reducing the computational cost, the aug-cc-pVDZ basis set is used for Ni, the cc-pVDZ basis set is used for H, and the jun-cc-pVDZ basis set is used for all other elements.<sup>49</sup>

The geometry optimization, adsorption energy, and charge density of NiPc molecules supported on nanocarbon substrate



**Figure 1.** (a) Reaction profile of CO<sub>2</sub>RR on NiPc, NiPc-CN, and NiPc-OMe. (b) Reductive activation process of NiPc. (c) Reaction profile of CO<sub>2</sub>RR on NiPc-2H, NiPc-CN-2H, and NiPc-OMe-2H. (d) Optimized geometries of the three reaction intermediates, \*, \*COOH, and \*CO, of CO<sub>2</sub>RR on NiPc from top and side view. Color code: H, white; C, gray; N, blue; O, red; Ni, green.

are computed using the CP2K simulation package for its capability of efficiently computing relatively large systems (~400 atoms in this case).<sup>50</sup> The spin-polarized PBE exchange-correlation functional was adopted with double- $\zeta$  Gaussian basis sets for describing the valence electrons<sup>51</sup> and an auxiliary plane-wave basis set with a cutoff of 400 Ry for computing the electrostatic terms.<sup>52</sup> D3 correction is included to better describe the dispersion interaction. The nanocarbon substrate was modeled by a 12  $\times$  12 graphene monolayer on which the NiPc molecule was placed in a face-to-face manner. A vacuum slab with thickness of 20 Å was added to eliminate the fictitious interaction in the Z-direction. Although the electronic structure of our employed model may deviate from that of CNT, a 1:1 model would otherwise be too computationally demanding (>2200 atoms for a 2 nm long fragment of CNT with 3 nm radius).<sup>53</sup> A model of CNT with a size reduced to affordable range or a bent graphene would cause more severe errors than this study due to a too-small curvature or spurious interactions.<sup>54</sup> In addition, the  $\pi$ - $\pi$  stacking energy has been shown to rely more on proper formulation of the dispersion term and the geometry than exact description of the band structures.<sup>55</sup> Hence, the main conclusions obtained with our model could translate to graphene, pyrolyzed graphitic carbon, and the outer wall of CNTs with small curvature.

SQM calculations are performed using the xTB package.<sup>56</sup> The GFN2-xTB tight binding method is employed in this study for its low computational cost on large systems and accuracy comparable to that of DFT methods in geometry optimization, noncovalent interaction, and MO energy calculation.<sup>57</sup> Since periodic GFN2-xTB is not yet implemented, the nanocarbon substrate is modeled by a 8  $\times$  8 hexagonal graphene molecule cut out from monolayer graphene with terminal C atoms saturated with hydrogen.

In the computational screening section, each molecule is represented by a 1D vector with 42 (number of substituents)  $\times$  8 (number of substitution sites) binary elements via positional

one-hot encoding. The representation can reversibly inter-convert into or from a SMILES representation.<sup>58</sup> The SMILES string is converted to XYZ coordinates using Open Babel package,<sup>59</sup> and a sufficient stochastic conformational search is performed at the MMFF94 level to obtain the most stable conformation.<sup>60</sup>

In the genetic algorithm search, each one-hot-encoded representation acts as a gene. On the basis of the calculated descriptors, a fitness factor is assigned to each candidate. Mating rates are assigned according to the fitness, and the child randomly inherits 50% of the gene from each of its parents. Mutations are introduced by choosing a substitution site and replacing the substituent on it randomly. The mutation rate is set at 33% to ensure sufficient sampling of the chemical subspace and to avoid being trapped into a local minima. When the population size exceeds the limit of 100 candidates, the candidates with the lowest fitness are removed. A GA run is regarded as converged when the fittest candidate remains in the pool for 50 generations.

The machine learning model for the fast prediction of molecular properties is a deep neural network (NN) with four rectified linear unit (ReLU)-activated hidden linear layers. The NN is trained on the data set of randomly sampled substituted NiPc, with 80% of the data as the training set and 20% of the data as the test set. Data augmentation is achieved by rotating the molecule to generate four equivalent representations for each data point. The validity of the machine learning model on the subspace that the current population is located is evaluated every 10 generations by calculating the  $R^2$  between the NN-predicted value and the QM value. The NN is employed until the  $R^2$  value drops below 0.9, after which the level of theory is switched to SQM methods in the subsequent generations.

## RESULT AND DISCUSSION

**CO<sub>2</sub> Electroreduction on NiPc Molecules with Different Substituents.** Previous experimental reports have shown that the NiPc molecule needs to go through two reduction

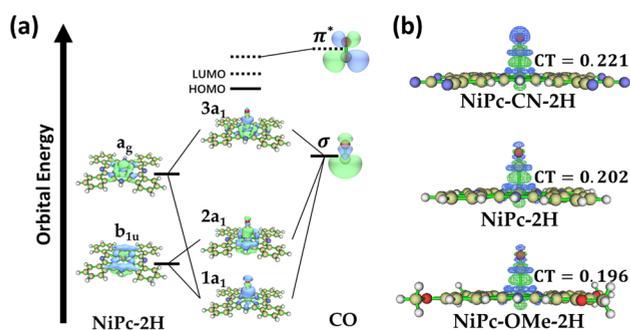
steps before it can catalyze the CO<sub>2</sub>RR, as evidenced by the two reduction peaks prior to the reduction current in cyclovoltammetry, in the cases of both free-standing NiPc and NiPc/CNT hybrids.<sup>32,61</sup> Such a finding makes the mechanistic studies based on the neutral NiPc molecule problematic since the electronic structure of the Ni center can change greatly after the reduction process. In addition, since the standard reduction potential of the CO<sub>2</sub>RR to CO (−0.10 V vs RHE in water) is more positive than the reduction potential of NiPc molecule, the onset potential of the reaction is likely to be dependent on the reductive activation of the NiPc itself instead of the barriers of the electrocatalytic process. For example, the energy profile of the CO<sub>2</sub>RR on neutral NiPc calculated under the computational electrode (CHE) scheme and with implicit solvation model predicts a prohibitively high overpotential of over 1.1 V (Figure 1a), which deviates from experimental values greatly. It is hence crucial to resolve the entity of the NiPc after reductive activation. There are earlier reports proposing the stepwise NiPc → [NiPc]<sup>−</sup> → [NiPc]<sup>2−</sup> mechanism; however, it is unlikely because the reductive activation is also observed on NiPc/CNT, in which case negatively charged cathode would naturally repel the NiPc away if it were reduced to anion, which contradicts the absence of Ni content in the electrolyte after electrolysis by ICP-MS, as shown in ref 32. Therefore, all intermediate forms of NiPc should have zero net charge. In addition, the N atoms bridging between the isoindole units in NiPc gain an ~3 times larger negative charge compared to the Ni center, assuming that it gains an extra electron to form [NiPc]<sup>−</sup> (Figure S1a,b), making the N sites easily subject to protonation in the neutral aqueous media. In our recent work, we combined DFT calculation and operando XAS to reveal the molecular structure of the intermediate structures (Figure 1b).<sup>32</sup> The reduction of NiPc is in fact coupled with the protonation of the Pc ligand, and two such protonation steps are needed to activate NiPc into the NiPc-2H species for the CO<sub>2</sub>RR. The free energy change for attaching H to the Pc ligand has been calculated to be 0.32, 0.54, and 0.49 eV on NiPc-CN, NiPc-OMe, and unsubstituted NiPc, respectively. EWG can lower the protonation energy by depleting the electron density over the ligand and lowering the LUMO energy level to facilitate the reduction of the Pc ligand that precedes the protonation, while the EDG does the opposite job. This trend is consistent with the experimental reduction potential and the calculated LUMO energy levels with R<sup>2</sup> values of 0.9896 and 0.9944, respectively. The operando XANES showed the gradual distortion and decomposition of the Ni–N<sub>4</sub> unit due to Ni loss in the unstable NiPc@CNT and NiPc-CN@CNT catalysts, which contradicts the formation and repelling of [NiPc]<sup>2−</sup> species which would instead result in a fading pattern without distortion. The operando EXAFS result is also consistent with the fitting based on the DFT-optimized NiPc-2H geometry, featuring two different Ni–C patterns (changing symmetry from D<sub>4v</sub> to D<sub>2v</sub> upon protonation), and the same goes for the adsorbate configuration \*CO. The energy profile of the CO<sub>2</sub>RR is recalculated on the protonated forms under the CHE scheme, and the predicted overpotential is reduced to 0.59 V. Although the overpotential of the CO<sub>2</sub>RR calculated from CHE is not a good indicator of actual reactivity since the onset is limited by the reduction activation of NiPc, it still suggests the radical change of electronic structure and energetics on NiPc-2H since the geometries of \*CO and \*COOH on NiPc-2H (Figure 1d)

do not differ much from those on neutral NiPc. It should be noted that the CHE calculation on the gas-phase geometry with implicit solvation is a crude approximation to the physical reality where the carbon substrate and explicitly water molecules can play some role in modulating the electronic structure of the molecule and stabilization of the reaction intermediates.<sup>62</sup>

To evaluate the effect on the NiPc by introducing substituents, we further calculated the NiPc with tetramethoxy substitution and with octacyano substitution, denoted as NiPc-OMe and NiPc-CN, respectively. The −OMe and −CN substituents are chosen for the availability of experimental data and because they belong to the strongest EDG and EWG, respectively. Little deviation from the geometries of intermediates on neutral forms is observed, evidencing the consistent reaction pathway of the three NiPc molecules. The HOMO (highest occupied molecular orbital), LUMO (lowest unoccupied molecular orbital), LUMO+1 (LUMO and LUMO+1 are degenerate MOs), and LUMO+2, together with their energy levels are summarized in Figure S2. It is apparent that the HOMO and LUMO are mainly attributed to the ligand, and a more rigorous orbital composition analysis reveals the contribution of Ni to be ~0% for HOMO and ~1% for LUMO, indicating Pc as the redox center. The ligand-centered redox chemistry is consistent with previous operando XANES report where the oxidation state of Ni (+II) is almost unchanged when the potential is increased from open-circuit voltage to the potential of stable operation.<sup>32</sup> It is also supported by the electron density map in Figure S1a where the absolute majority of the extra electron are located on the ligand and that there is only a minor decrease in Ni Mulliken charge by ~0.02 and a minor weakening of Ni–N Mayer bond order by ~0.05 upon the formation of the NiPc-2H species. Introduction of an EDG upshifts the MO energy levels, making it harder to reduce, while an EWG would do the opposite. By comparing the LUMO energies with experimental reduction potential of the second activation steps (−0.22 V for NiPc-CN, −0.64 V for NiPc, and −0.69 V for NiPc-OMe),<sup>32</sup> it is obvious that a lower LUMO energy leads to a less negative reduction potential for activation, hence an earlier onset. Furthermore, there exists a linear correlation between the reduction potential and LUMO energy level with an R<sup>2</sup> value of 0.9993 (Figure S3), which is consistent with the linear relationships between DFT-calculated MO energy and redox potential that have been reported on similar molecular systems.<sup>63–65</sup> Therefore, the LUMO energy level could act as a decent descriptor for predicting the reduction potential of the activation process. The LUMO+2 is an antibonding orbital for all four Ni–N bonds in the Ni–N<sub>4</sub> moiety, the filling of which at a high overpotential is likely to substantially weaken the Ni–N bonds and lead to structural distortion and even Ni–N cleavage. Therefore, from a MO perspective, introduction of an EDG does help prevent the breakage of the Ni–N<sub>4</sub> moiety by upshifting the energy level of antibonding LUMO+2 out of reach.

For the neutral NiPc species, the CO<sub>2</sub>RR exhibits no pronounced difference in energetics among the three molecules (Figure 1a). However, in their activated form, the energetics of the reaction intermediates on three molecules differ. It can be seen in Figure 1c that the energy of \*COOH is in the order of NiPc-CN-2H > NiPc-2H > NiPc-OMe-2H, while the energy of \*CO is in the order of NiPc-OMe-2H > NiPc-2H > NiPc-CN-2H. Despite the fact that the electro-

chemical barriers are not well described under the CHE scheme due to dependence of onset on reduction of the NiPc itself, the energy difference between intermediates on different molecules are worthy of discussion, since  $\Delta G$  (change in Gibbs free energy) is not as reliable a metric as  $\Delta\Delta G$  (change in  $\Delta G$  upon changing substituents), especially for the thermodynamic CO desorption process. The  $^*\text{CO}$  adsorption strength is calculated to be  $\text{NiPc-CN} > \text{NiPc} > \text{NiPc-OMe}$ , which contradicts with the conventional view that a more electron-rich center should bind CO more strongly due to enhanced back-donation. In contrast, the orbital interaction diagram from generalized charge decomposition analysis (GCDA) shows that there is no back-donation in the  $\text{NiPc-2H}^*\text{CO}$  intermediate (Figure 2a). The  $\pi$  and  $\pi^*$  orbitals of CO have



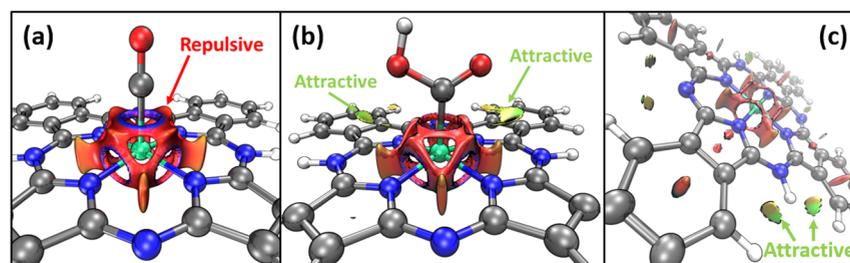
**Figure 2.** (a) Orbital interaction diagram of CO interacting with NiPc-2H from generalized charge decomposition analysis. Orbitals irrelevant with Ni–CO binding or having an insignificant contribution from CO are not shown for clarity. (b) Electron density difference plot at isovalue = 0.001 showing electron transfer from CO to NiPc-CN-2H, NiPc-2H, and NiPc-OMe-2H, with green and blue lobes showing increase and decrease of electron density, respectively. Integrated charge transfer obtained from generalized charge decomposition analysis are labeled next to the corresponding structural models. Color code: H, white; C, tan; N, blue; O, red; Ni, green.

little interaction with NiPc-2H MOs, and the CO  $\pi^*$  orbital is two MOs beyond the LUMO of NiPc-2H\*CO, eliminating the presence of back-donation from the Ni d orbital to the CO  $\pi^*$  orbital. The adsorption of CO on Ni in NiPc-2H\*CO is therefore mainly attributed to the CO  $\sigma$  orbital. As can be seen in Figure 2a, the  $\sigma$  orbital of CO interacts with the metal-centered MO  $a_g$  and the ligand-centered MO  $b_{1u}$  of NiPc-2H to form MO  $1a_1$ ,  $2a_1$ , and  $3a_1$  in the NiPc-2H\*CO complex. MO  $1a_1$ ,  $2a_1$ , and  $3a_1$  are all occupied and far below the frontier orbitals. In terms of the Ni–C bond by  $\sigma$ -donation of CO to Ni, two of the three orbitals are bonding orbitals, while one is

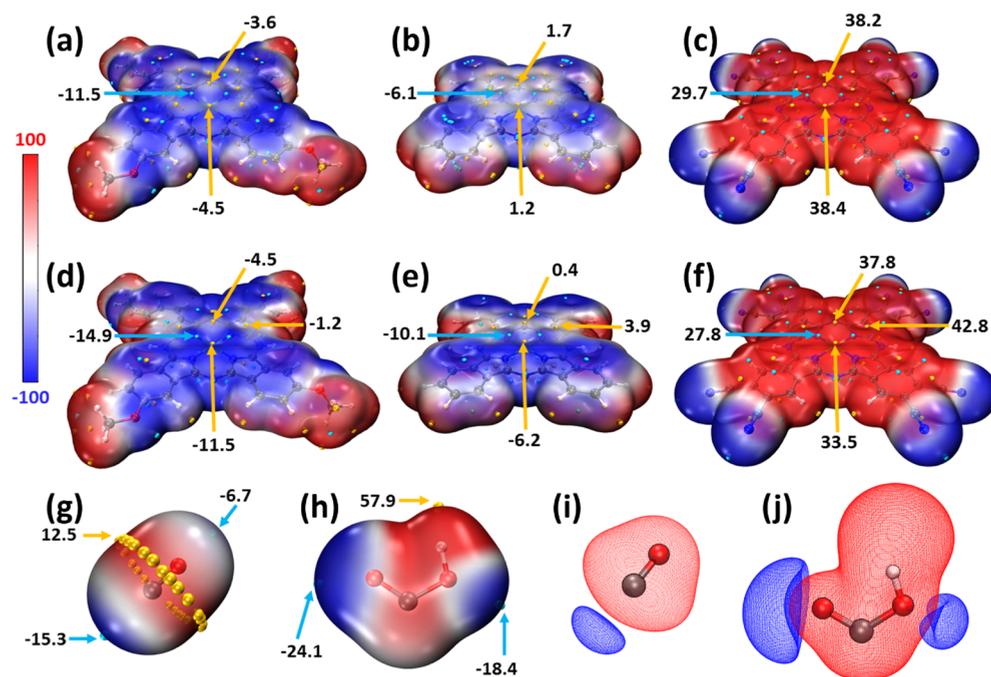
antibonding. The Mayer bond order of Ni–C is calculated to be 0.52, which is significantly lower than the typical Ni–C coordination bond by  $\sigma$ -donation (the Ni–C in  $\text{Ni}(\text{CO})_4$  has a Mayer bond order of 1.03).

The electron transfer from CO to Ni is visualized by the electron density difference map between the fragments (NiPc-2H and CO) and the complex (NiPc-2H\*CO). From Figure 2b, we found that the electron density shifts from O to C, forming the Ni–C bond through  $\sigma$ -donation. The injected electron density from CO mostly goes into the  $d_{z^2}$  orbital, while the electron density at the Ni–N bond region is reduced caused by structural distortion and polarization, leading to weakening of the Ni–N bond as evidenced by the decrease of the Mayer bond order from 0.56 in NiPc-2H to 0.46 in NiPc-2H\*CO. The electron transfer number from CO to Ni- $N_4$  calculated by GCDA, as labeled below the electron density difference plot in Figure 2b, are 0.22, 0.20, and 0.19 lel for NiPc-CN-2H, NiPc-2H, and NiPc-OMe-2H, respectively. The smaller the electron transfer number, the weaker the interaction between the two fragments. The relationship can explain well the sequence of CO desorption energy:  $\text{NiPc-CN-2H} > \text{NiPc-2H} > \text{NiPc-OMe-2H}$ . The adsorption energy trend and Ni–N weakening upon CO adsorption also provides evidence for the experimental long-term stability of NiPc-OMe  $>$  NiPc  $>$  NiPc-CN, since the deactivation is mainly caused by poisoning of the active site by CO and loss of the Ni from Ni- $N_4$  breakage.

Having evaluated the bonding interactions, we further analyzed the noncovalent interactions (NCI) involved in the NiPc-2H and its  $^*\text{COOH}$  and  $^*\text{CO}$  intermediates. Figure 3 shows the reduced density gradient (RDG) plot of NiPc-2H\*CO and NiPc-2H\*COOH. In Figure 3a, four red spikes can be observed between C in CO and the four N in Ni- $N_4$  moiety, which correspond to the repulsive noncovalent interaction arising from the nonbonded overlap of electron density of C and the conjugate system over the Ni- $N_4$  moiety. Therefore, a more electron-rich Ni center would weaken the adsorption of CO. In Figure 3b, besides the similar red surfaces between C and Ni, the green RDG surfaces can be observed between the two O in  $^*\text{COOH}$  and the center of the protonated ring in the Pc ligand, which suggests a slightly attractive van der Waals interaction. It is also worth noting that the protonated N–H moiety in NiPc-2H can be stabilized by the two beta C–H through a moderately attractive van der Waals interaction (Figure 3c). In addition, the steric bulk of the nearby isoindole units could also prevent the N–H from getting deprotonated by solvent molecules under weak oxidative potentials. For NiPc with a smaller electron density over the ring (by EWG functionalization), such a stabilization



**Figure 3.** Reduced density gradient isosurfaces showing noncovalent interaction in (a) NiPc-2H\*CO, (b) NiPc-2H\*COOH, and (c) NiPc-2H. Red and green regions on isosurfaces correspond to repulsive and attractive noncovalent interactions, respectively. Color code: H, white; C, gray; N, blue; O, red; Ni, green.



**Figure 4.** Electrostatic potential mapped van der Waals surface of (a) NiPc-CN, (b) NiPc, (c) NiPc-OMe, (d) NiPc-CN-2H, (e) NiPc-2H, (f) NiPc-OMe-2H, (g) CO fragment, and (h) COOH fragment. The electrostatic potential isosurfaces at 0.025 a.u. of (i) CO and (j) COOH fragments. Red and blue regions represent positive or negative electrostatic potential. Minima and maxima points are drawn as cyan and orange spheres, respectively. Unit of all labeled electrostatic potential values are kcal/mol. Color code: H, white; C, gray; N, blue; O, red; Ni, green.

effect would be weaker. This could explain why the CV of NiPc-CN@CNT is somewhat reversible, while that of NiPc-OMe@CNT is not in ref 32.

Since the weak interactions are shown to be present and may play a crucial role in the energetics of \*CO and \*COOH intermediates by NCI analysis, we calculated the electrostatic potential (ESP) of NiPc-OMe, NiPc, NiPc-CN (Figure 4a–c), and their activated species (Figure 4d–f) and mapped the ESP on their van der Waals surface. The ESP on Ni–N<sub>4</sub> moieties of all molecules share the same shape: one maximum above the Ni center, four minima above each of the four N atoms, and four maxima above each of the center of the 6-member rings. The EDG and EWG result in a more negative or a more positive ESP at the Ni–N<sub>4</sub> moiety, respectively. After the reductively activation, the maxima at Ni and minima at N of all three molecules shifts to a more negative value. The substituent effect still holds in the activated species. It is worth noting that the protonation breaks the *D*<sub>4h</sub> symmetry of the electronic structure at Ni–N<sub>4</sub> moiety, leading to a relatively more positive ESP over the center of the protonated ring. To study the interaction between the activated molecules and the adsorbates, we also calculated the ESP of CO and COOH fragments. Figure 4g shows that the ESP minima on the CO surface lies at the C side, while the plane that intercepts the C=O has positive ESP. The ESP isosurfaces of CO (Figure 4i) indicate the presence of a lone pair at the C side, which supports the  $\sigma$ -donation bonding mode in Ni–N and causes the repulsion between C in CO and N in Ni–N. As for the COOH fragment, two ESP minima are located near the two O atoms and the H terminal of the –OH has an ESP maximum (Figure 4h). The ESP isosurfaces of COOH fragment reveal that the two ESP minima arise from the lone pairs of O atoms, and their docking with the relatively positive maxima on protonated ring of activated NiPc molecules likely give rise to

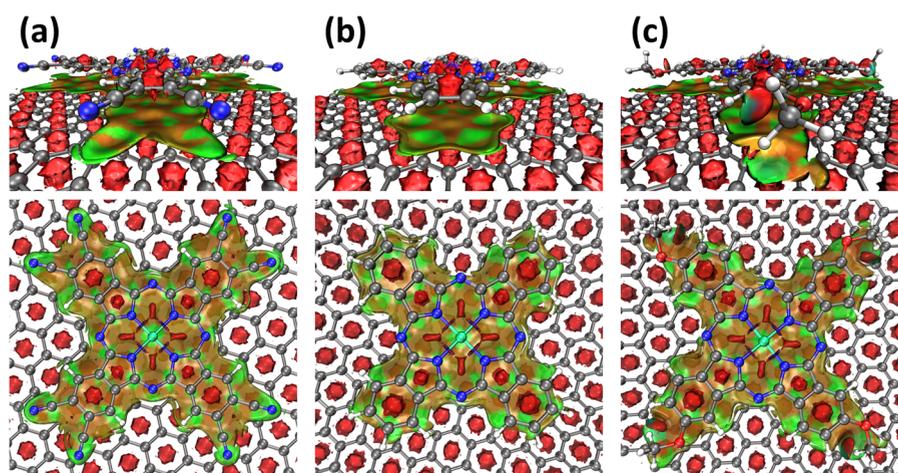
the attractive van der Waals interaction shown in Figure 3b. The C side at which COOH binds Ni, however, has positive ESP. In summary, the C sites in the CO and COOH fragments have different ESP, resulting in different energy trends when bound to the Ni–N<sub>4</sub> moiety.

To gain more detailed insights into the complex interaction between Ni–N<sub>4</sub> and adsorbent (CO and COOH), symmetry-adapted perturbation theory (SAPT) calculations with restricted open-shell Hartree Fock (ROHF) reference are performed to decompose the interaction between the two fragments into components of physical meaning. The decomposed energy components, together with the total SAPT energy, are summarized in Table 1. The total SAPT energy of \*CO intermediates follows the sequence NiPc-CN-2H\*CO < NiPc-2H\*CO < NiPc-OMe-2H\*CO, which is consistent with the DFT calculation. It can be seen that the interaction between Ni–N<sub>4</sub> and CO is dominated by repulsive exchange interaction, i.e., Pauli repulsion, between CO  $\sigma$

**Table 1.** SAPT Energy Decomposition Results on NiPc-2H\*CO, NiPc-CN-2H\*CO, NiPc-OMe-2H\*CO, NiPc-2H\*COOH, NiPc-CN-2H\*COOH, and NiPc-OMe-2H\*COOH<sup>a</sup>

	$E_{\text{electrostat}}$	$E_{\text{exchange}}$	$E_{\text{induction}}$	$E_{\text{dispersion}}$	$E_{\text{SAPT}}$
NiPc-CN-2H*CO	-51.89	83.59	-13.18	-12.65	5.87
NiPc-2H*CO	-55.17	88.26	-12.91	-13.03	7.16
NiPc-OMe-2H*CO	-55.65	88.96	-13.00	-13.11	7.20
NiPc-CN-2H*COOH	-35.52	79.12	-11.74	-18.87	12.99
NiPc-2H*COOH	-36.82	78.27	-21.65	-18.55	1.25
NiPc-OMe-2H*COOH	-36.73	78.06	-24.42	-18.54	-1.63

<sup>a</sup>All units are kcal/mol.



**Figure 5.** Reduced density gradient isosurfaces from promolecular density showing noncovalent interaction at the interface of (a) NiPc-CN@NC, (b) NiPc@NC, and (c) NiPc-OMe@NC. Red and green regions on isosurfaces correspond to repulsive and attractive noncovalent interactions, respectively. Color code: H, white; C, gray; N, blue; O, red; Ni, green.

orbital and the  $\pi$  orbital over the electron-rich Ni–N<sub>4</sub> region after reduction as evidenced in Figure 3a. However, in the case of \*COOH, the pronounced difference of induction energy among the three species makes induction energy the dominating contributor to the difference between three substituted NiPc molecules. Due to the positive atomic charge of C in COOH as evidenced in Figure 4j, a more electron-rich Ni center would result in a stronger induction interaction between Ni and C in \*COOH. This can be also supported by the atomic charge calculated by modified Mulliken atom population defined by Bickelhaupt, which is 0.92, 0.87, and 0.86 for NiPc-CN-2H, NiPc-2H, and NiPc-OMe-2H, respectively. Therefore, when an EDG is introduced to the NiPc, the Ni–N<sub>4</sub> moiety becomes more electron-rich, resulting in strengthened COOH binding and weakened CO binding.

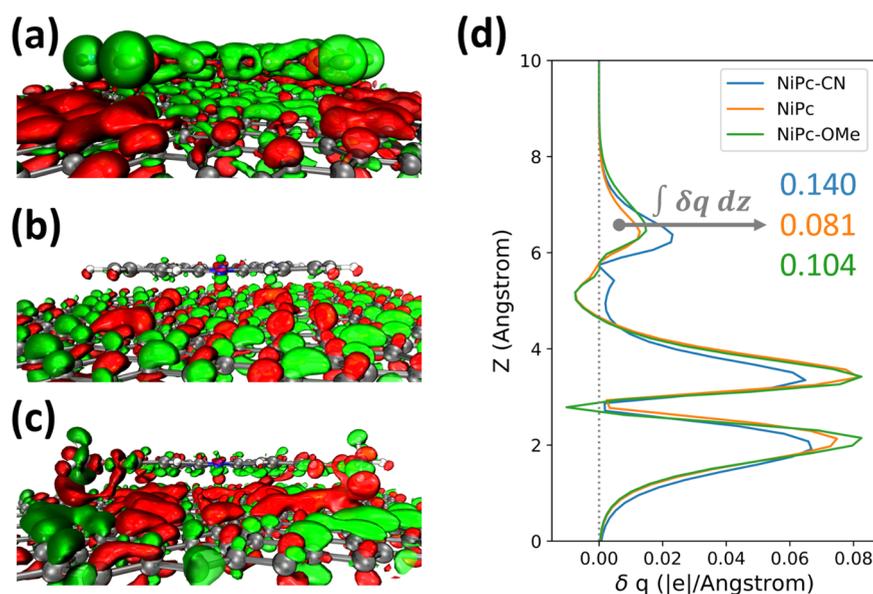
**Molecular Catalyst/Support Interaction.** The diverse adsorption properties induced by molecular engineering inspires us to look further into other factors that may be in effect in the realistic electrocatalytic system. Although the supported NiPc on nanocarbon (NC) substrate can preserve its structure and well-defined Ni–N<sub>4</sub> center, the interaction between NiPc molecules and NC could vary for different substituents. In operation, the electrons driven by applied potential move from electrode to NC and then transfer to the supported NiPc molecule via through-space electron transfer across the  $\pi$ – $\pi$  stacking interface. In addition, strong enough  $\pi$ – $\pi$  stacking interaction is a prerequisite of the hybrid system since NiPc needs to be immobilized on the NC in a stable way. Therefore, the molecular catalyst/support interaction is worth exploration.

In the DFT calculation, the NC substrate is modeled a sufficiently large periodic graphene monolayer. Due to the relatively large radius of CNT (20–30 nm) compared to the size of the NiPc molecule (<1 nm), the curvature of the graphitic carbon layer at the interface is negligible. Therefore, the graphene monolayer model can also act as an affordable approximation to the CNT surface. The geometries are optimized at PBE-D3 with double- $\zeta$  Gaussian-planewave basis set to obtain the  $\pi$ – $\pi$  stacking geometry.

The adsorption energies ( $E_{\text{ads}}$ ) of NiPc, NiPc-CN, and NiPc-OMe on graphene are calculated to evaluate the strength of interaction between them. The  $E_{\text{ads}}$  are –2.47, –2.88, and

–3.52 eV for NiPc, NiPc-OMe, and NiPc-CN, respectively. The strengths of adsorption are in order NiPc-CN > NiPc-OMe > NiPc, which surprisingly does not fit into the the EDG/EWG-tuning context which would predict either NiPc-OMe > NiPc > NiPc-CN or NiPc-CN > NiPc > NiPc-OMe. To gain a deeper understanding of the interaction, NCI analysis is performed on the three hybrid structures to visualize the noncovalent interaction between the graphene and NiPc molecules (Figure 5). All three NiPc molecules are about 3.2 Å from the graphene surface, and the RDG surface at the interface in between is colored in a mixture of green and brown, suggesting the nature of  $\pi$ – $\pi$  stacking to be mainly attractive van der Waals interaction. The surface between the main body of the Pc ligand and the graphene are very similar among the three hybrids, however, the regions below the substituents differ greatly. The terminal H at the pristine NiPc has little interaction with the graphene (Figure 5b); however, the cyano groups in NiPc-CN (Figure 5a) and methoxy groups in NiPc-OMe (Figure 5c) extend the RDG surface, representing an additional attractive contribution. Therefore, the  $\pi$ – $\pi$  stacking is not directly reliant on the electron push–pull effects of the substituents but depends more on the polarizability of the substituent fragment (for enhanced dispersion interaction) and whether the substituent contains pi MOs to extend the conjugated system of the Pc ligand (for expanded interaction region). From the previously calculated ESP map in Figure 4, it can be seen that the charge redistribution is much more pronounced (evidenced by more extreme ESP values) on NiPc-CN and NiPc-OMe compared to NiPc. The dependence of  $\pi$ – $\pi$  stacking strength on nature of the substituents is consistent with previous reports on substituted aromatic molecules.<sup>66</sup>

Since the interaction between NiPc molecules and graphene is pure  $\pi$ – $\pi$  stacking without any chemical bond, a stronger interfacial interaction should give rise to stronger coupling of the electronic structure and hence facilitate electron transfer and transport, which is of grave importance in the electrocatalytic process but is often neglected in computational studies. Here we apply a surface charging technique to investigate the effect of substituents on the electron transfer at the interface. To be specific, one additional electron is added to the graphene to model the negatively charged NC surface,



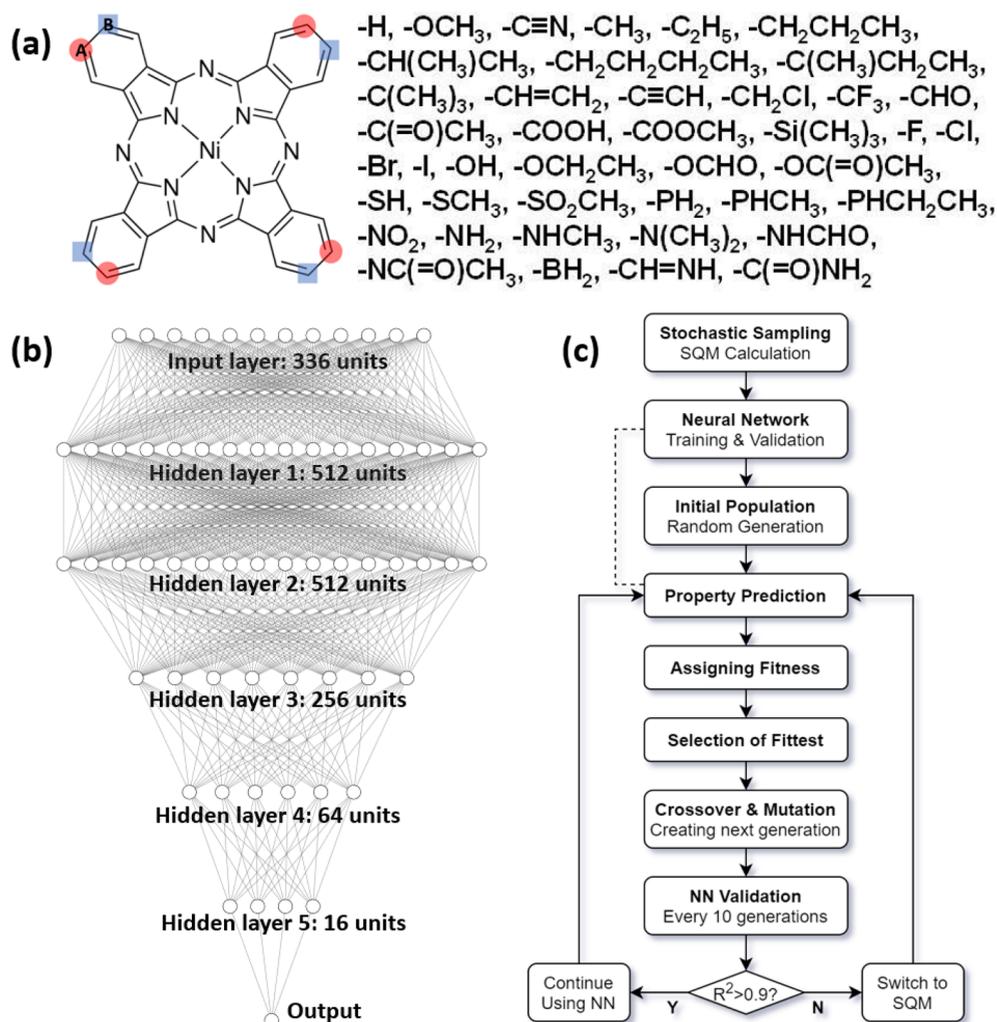
**Figure 6.** Electron density difference plot (isovalue = 0.0025) showing charge transfer from a negatively charged graphene substrate to adsorbed (a) NiPc-CN, (b) NiPc, and (c) NiPc-OMe on graphene substrate. Green and red isosurfaces show increase or decrease of electron density, respectively. (d) Electron density difference after surface charging, projected to the Z-direction. The number of electrons gained by NiPc molecules from surface charging is calculated by integrating the charge density difference over the region around NiPc molecules, and the values are labeled in the plot.

and the neutral NiPc molecule is attached to the charged surface. The negatively charged hybrid is relaxed to its local minimum. By subtracting the electron density of the charged graphene substrate and the neutral NiPc from the charged hybrid, the electron transfer to NiPc can be visualized by the electron density difference map. Since the number of extra electrons added to the graphene substrate is the same among the three hybrids, the driving force for electron transfer should be the same. However, the charge transfer behavior is quite different among the three hybrids. In Figure 6b, polarization of the graphene substrate can be observed, but the isosurfaces on the NiPc molecule are so tiny that the charge transfer through the interface is almost neglectable. In Figure 6a, we can see enhanced polarization of NiPc-CN and the graphene substrate, and the green isosurfaces corresponding to electron gain have a much larger size. NiPc-OMe does not have as large green isosurfaces as NiPc-CN, but they are more pronounced than those of the pristine NiPc molecule. The major charge transfer happens at the region between the substituent groups and the substrate as can be seen in Figure 6a,c, providing another evidence that the molecule/substrate interaction is substituent-dependent instead of the result of the electron push–pull effects.

To quantify the electron gain at the NiPc molecules from surface charging, we subtract the electron density of the neutral hybrid from the charged hybrid and project the electron density increment to the Z-direction (vertical to the graphene plane). Although a more sophisticated Poisson–Boltzmann model under the grand canonical DFT scheme, which would require a symmetrizing slab, polarizable continuum, and a much thicker vacuum, is not employed due to extremely high computational cost with our already large model, the resulting error would be  $\sim 100$  meV and is systematic.<sup>67</sup> The Z-coordinate of graphene substrate is at 2.8 Å; therefore, the two large peaks of electron density above and below  $Z = 2.8$  Å indicate that the majority of the extra electrons are injected

into the  $\Pi$  conjugate system of graphene. As the Z-value increases, the electron density declines to around zero across the through-space region and then goes up at the position of the NiPc molecules. Above the Z-position of zero flux at around 5.75 Å, the smaller peak at around  $Z = 6.2$  Å corresponds to the electron that is successfully transferred to NiPc. By integrating the charge density across such region, the number of gained electrons is quantitatively calculated as 0.140, 0.081, and 0.104  $|e|$  for NiPc-CN, NiPc, and NiPc-OMe, respectively, indicating the order of charge transfer ability was NiPc-CN@NC > NiPc-OMe@NC > NiPc@NC. Moreover, the charge transfer number correlates well with calculated adsorption energies linearly with an  $R^2$  value of 0.9999 (Figure S4), establishing the adsorption energy of NiPc on substrate as a viable descriptor of charge transfer kinetics at the  $\pi$ – $\pi$  stacking interface.

We would like to note that although vacuum model or implicit solvation model are used throughout the previous sections, we are fully aware of their limitations. From our primitive tests, including a microsolvation of 8 explicit water molecules around the periphery of the NiPc-2H could lead to charge transfer of  $-0.16$ ,  $-0.24$ , and  $+0.20$   $|e|$  from the water to the NiPc-2H molecules for unsubstituted,  $-CN$ , and  $-OMe$ , respectively (Figure S5). The electron density differs from the isolated case not only near the peripheral rings but also at the Ni– $N_4$  moiety, with the extent differing from substituent to substituent, thereby influencing the ground-state redox properties. It has recently been reported that including a full realistic explicit solvation layer could alter the reaction energetics by a few hundred meV via hydrogen bonding stabilization and could even reveal alternative reaction pathways.<sup>68</sup> We are currently working to incorporate the explicit solvent environments, together with the substrate part that we are to discuss in the next section, into a unified realistic model for electrocatalysis.

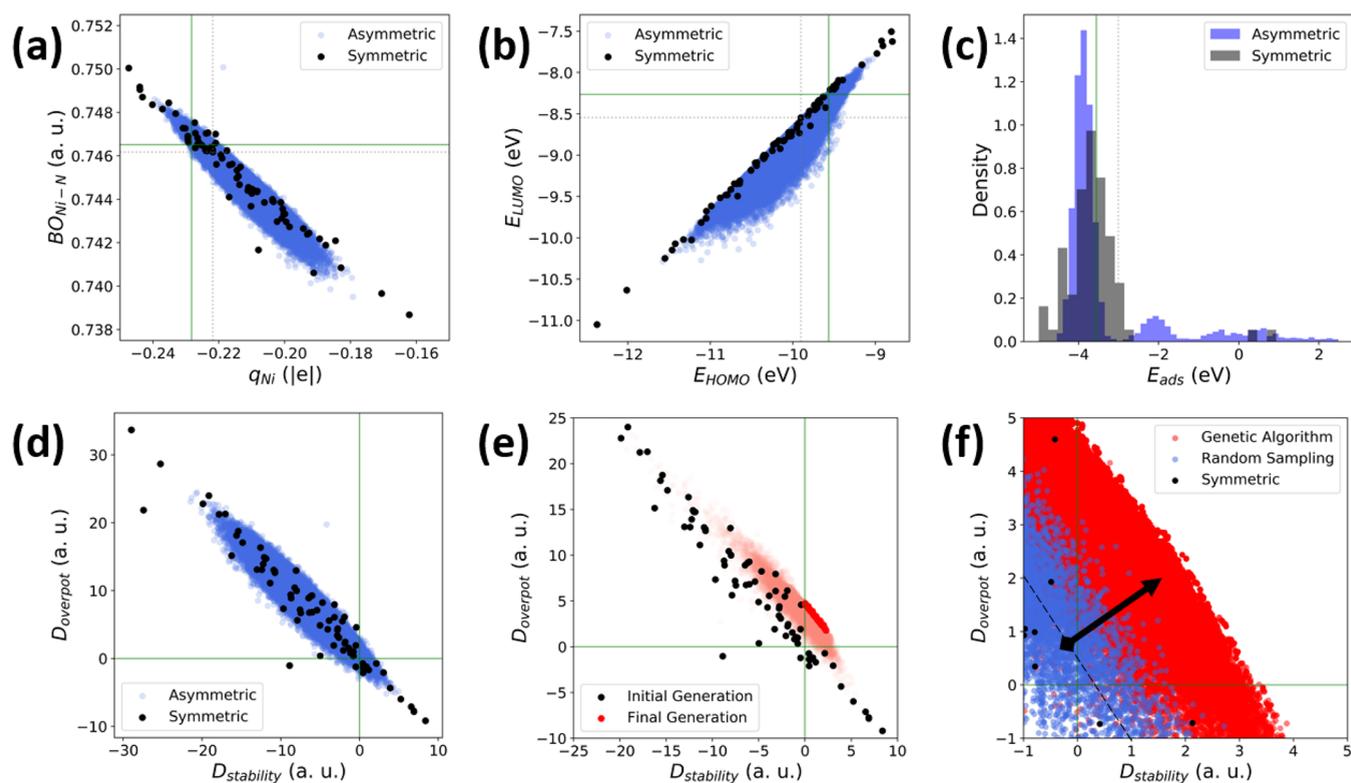


**Figure 7.** (a) Structural formula of the NiPc with blue square and red circles labeling the peripheral sites where substituents are introduced, denoted as site A and site B, respectively. The full list of substituent scope is provided on the right. (b) Architecture of the deep neural network for property prediction in this study. (c) General workflow of the genetic algorithm search.

**Computational Screening.** Sufficient insights into the substituent effects on the electronic structure of NiPc have been gained in the previous sections, and a few relationships are also discovered along the way: (i) The more electron-rich metal center weakens CO adsorption to prevent poisoning. (ii) The more electron-rich metal center strengthens the Ni–N bond to protect integrity of the Ni–N<sub>4</sub> moiety. (iii) A lower LUMO energy level makes the reduction potential for activation of the NiPc molecule less negative. (iv) A stronger interaction energy with substrate means charge transfer is facilitated across the interface and ensures the immobilization. Inspired by the findings, we expand the scope to 42 types of substituents, with the full list provided in Figure 7a. To deal with the vast number of molecular permutations to explore in this rather large chemical space, we employ the recently developed SQM method GFN2-xTB to perform all the calculations in this section. The method is grounded quantum mechanically and has been benchmarked to predict geometry and molecular properties of with comparable accuracy to DFT.<sup>57</sup> In our tests, the LUMO energy level calculated at GFN2-xTB linearly correlates well with the DFT result, with an  $R^2$  value of 0.9999 (Figure S6). The geometry and RDG surfaces of NiPc interacting with substrate calculated at GFN2-

xTB (Figure S7) are also consistent with the DFT results, proving that the NCI are correctly described. Although the substrate is modeled by a smaller aperiodic graphitic carbon sheet at GFN2-xTB instead of the periodic graphene model in previous DFT calculations, the interaction energy linearly correlates decently with an  $R^2$  value of 0.9844 (Figure S8).

We begin with a data set of symmetrically tetra- and octasubstituted NiPc molecules, i.e., substituting site A or both sites A and B with the same substituent, with a total number of 83 molecules. The Mulliken charge of Ni atom, the Mayer bond order of Ni–N, and the LUMO and HOMO energy levels of each molecule in the library are calculated after geometry optimization by SQM. It can be seen from Figure 8a that the Mulliken charge of the Ni center is negatively correlated to the Mayer bond order of Ni–N, which echoes the previous finding that a more electron-rich Ni center strengthens the Ni–N bond. Therefore, the two aspects of long-term stability can be described by one variable, the Mulliken charge of the Ni center, to eliminate one degree of freedom. Since the atomic charge is related to the occupied orbitals, the HOMO energy level usually correlates with the atomic charge or oxidation state.<sup>69</sup> Unfortunately, on this symmetric data set, the HOMO and LUMO energy levels are



**Figure 8.** (a) Scatter plot of Mayer bond order of Ni–N versus atomic partial charge of Ni center of symmetrically and stochastically sampled asymmetrically substituted NiPc molecules. (b) Scatter plot of LUMO energy level versus HOMO energy level of symmetrically and stochastically sampled asymmetrically substituted NiPc molecules. (c) Histogram showing distribution of adsorption energies on graphene sheet of symmetrically and stochastically sampled asymmetrically substituted NiPc molecules. (d) Scatter plot of potential descriptor versus stability descriptor of symmetrically and stochastically sampled asymmetrically substituted NiPc molecules. (e) Scatter plot of potential descriptor versus stability descriptor on the trajectory of genetic algorithm search. (f) Scatter plot of potential descriptor versus stability descriptor of symmetrically and stochastically sampled asymmetrically substituted NiPc molecules, and trajectory of 10 independent GA searches. Gray dotted lines and green lines represent the values of NiPc and NiPc-OMe for reference. Dashed line and arrow in (f) represent the linear overpotential–stability correlation fitted on the stochastic data set and the normal search direction.

correlated. Since the LUMO energy level has been shown to correlate with the reduction potential, the molecular design comes to a dilemma where improving the stability would make the reduction potential more negative.

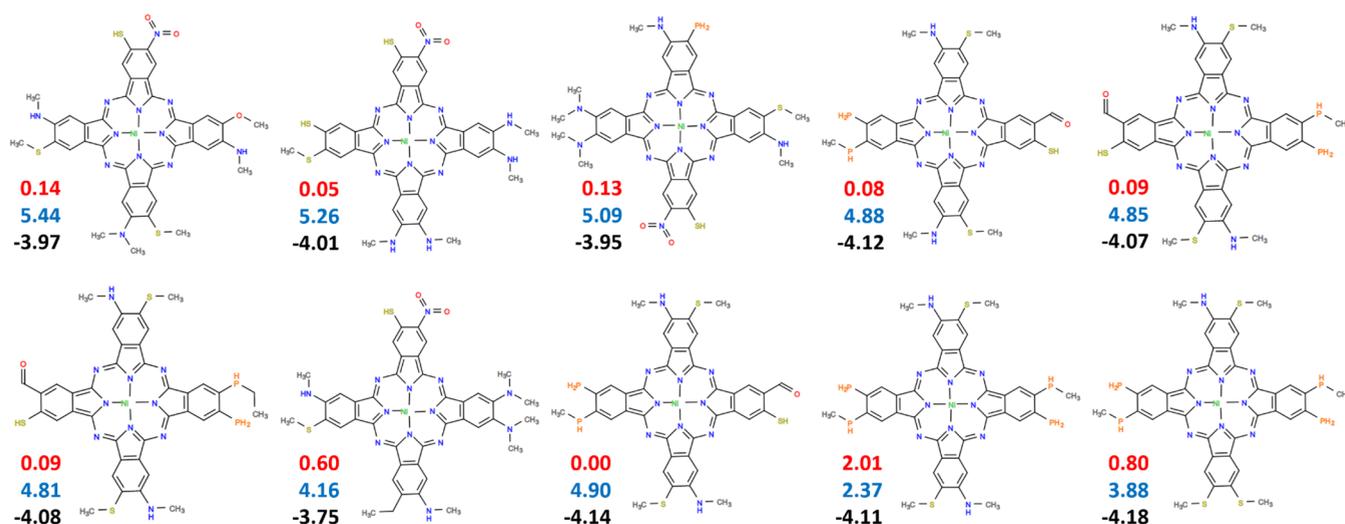
Recalling that MO analysis indicates degenerate LUMO and LUMO+1 on symmetrically substituted NiPc molecules (Figure S2), asymmetric substitution is introduced to break the degeneracy to lower the LUMO energy levels without making as significant changes to the HOMO energy levels. Since there are 42 different substituents including hydrogen and 8 substitution sites on NiPc, the chemical space would have a size of ca. 10 trillion molecules ( $\sim 42^8$ ) which is beyond the capability of any brute-force exhaustion. Hence, we adopt a stochastic sampling to randomly introduce substituents on to the NiPc without any restriction on symmetry of number of different substituents, with a total size of 100 000 distinct molecules. After introducing asymmetric substitution, the LUMO and HOMO can be decoupled to some extent (Figure 8b), thereby making some room for optimization of performance beyond the scaling relation. On the basis of the understandings and the previously obtained correlations between calculated property and experimental values, we define a descriptor-based scheme to evaluate the overall performance of the substituted NiPc molecule. The descriptor of reduction potential of activation,  $D_{\text{potential}}$  is defined as

$$D_{\text{potential}}(X) = \frac{E_{\text{LUMO}}(\text{ref}) - E_{\text{LUMO}}(X)}{|E_{\text{LUMO}}(\text{ref})|} \times 100$$

The descriptor of long-term stability,  $D_{\text{stability}}$ , is defined as

$$D_{\text{stability}}(X) = \frac{q_{\text{Ni}}(X) - q_{\text{Ni}}(\text{ref})}{|q_{\text{Ni}}(\text{ref})|} \times 100$$

where the  $X$  is a substituted NiPc, the ref denotes the reference molecule, and  $E_{\text{LUMO}}$  and  $q_{\text{Ni}}$  are the LUMO energy level and Mulliken charge of the Ni center calculated by SQM, respectively. In this study, the reference molecule is chosen as NiPc-OMe since it is the highest performing molecule in the NiPc family that has been reported to date. The  $D_{\text{potential}}$  is plotted versus  $D_{\text{stability}}$  on the symmetric and asymmetric data set in Figure 8d. Despite the negative correlation between stability and potential, it is not a strict linear relationship. It is worth noting that in the symmetric data set no molecule that can outperform NiPc-OMe in both aspects (no black data points in first quartet), indicating it as the likely best candidate in this synthesis-friendly chemical subspace. On the asymmetric data set, there are 572 molecules outperforming the reference molecule in terms of both stability and in potential, which is only 0.57% of all samples. Despite the excitement of this result, one should be cautious since the asymmetric substitution can also lead to molecular structures that are sterically unfavorable for immobilization due to substituents



**Figure 9.** Structure of the top 10 substituted NiPc molecules with optimal performance from 10 independent genetic algorithm runs. The stability descriptor (top, in red), potential descriptor (middle, in blue), and adsorption energy on substrate in eV (bottom, in black) are labeled in the lower left of each molecule.

sticking out-of-plane from steric repulsions, as can be seen in the peaks with positive adsorption energy in Figure 8c. This demonstrates the necessity to take into account the interaction with substrate in molecular design.

The best-performing molecules from the stochastic sampling are definitely not the best in the whole chemical space of substituted NiPc since a data set of  $10^4$  molecules is just a drop of water in ocean of  $10^{12}$  molecules. Stochastic sampling allows uniform exploration of the chemical space, but it is inefficient in optimizing toward a specific property. However, the sheer size of data set makes even semiempirical methods extremely expensive. To explore efficiently the vast chemical space of asymmetrically substituted NiPc in an affordable way while avoiding falling into local extrema, we develop a GA searcher for global optimization of NiPc properties in the chemical space of substituent permutations and integrate predictive NN models into the search. The workflow of the GA searcher and the architecture of the NN are shown in Figure 7b,c, respectively. The NN is trained on the data-augmented stochastic sampled data set with the one-hot-encoded representation of the NiPc as input. The trained NN can achieve pretty accurate prediction of  $D_{\text{potential}}$ ,  $D_{\text{stability}}$ , and  $E_{\text{ads}}$  on the test set with  $R^2$  values of 0.9853, 0.9907, and 0.9990, respectively (Figure S9). Since the training set does not contain a sufficient sampling of the outliers, especially in the direction of GA search, the validity of the NN-predicted value is checked on-the-fly against the SQM level results every 10 generations. The property calculation method is switched back to SQM after the  $R^2$  of the NN-predictions drops below 0.9, which indicates insufficient training of the NN model in this chemical subspace. Molecules with positive  $E_{\text{ads}}$  are removed in each generation to avoid misguiding the search direction. For the candidates with a negative  $E_{\text{ads}}$ , the projection of  $(D_{\text{stability}}, D_{\text{overpot}})$  to the normal vector (arrow in Figure 8f) of the fitted  $D_{\text{stability}} - D_{\text{overpot}}$  linear correlation (dashed line in Figure 8f) is calculated, and the maximization of which is set as the search direction. Ten independent GA searches are performed with a population size of 100, a mutation rate of 33%, and a convergence criterion of 50 generations. Figure 8e shows the results from one of the GA searches, and it can be seen that the population gradually evolves from the diverse but ill-perform-

ing initial generation to higher scoring populations and that in the final population all the molecules outperform the reference molecule. The GA also has much improved efficiency from the stochastic search since the sampling of the ill-performing regions is avoided, with 42.90% of the sampled molecules outperforming reference molecule. In Figure 8f, we summarize the result from all 10 GA searches and zoom in at the region of improved performance. The resulting molecules from GA greatly outperform the ones from stochastic sampling by  $\sim 2$  folds in  $D_{\text{potential}}$  and by  $\sim 3$  folds in  $D_{\text{stability}}$ . The final top 10 highest scoring molecules are shown in Figure 9. The best candidate has slightly improved long-term stability and a 0.46 eV stronger substrate interaction compared to the reference molecule. Its  $D_{\text{potential}}$  is 5.44 which corresponds to a reduction potential of  $-0.59$  V, which is 100 mV less negative than the state-of-the-art NiPc-OMe. To verify the GA search results, DFT calculations are performed on the 10 molecules at the same level of theory as in the previous section (Figure S10). All 10 molecules show less negative reduction potentials, with the best among them (no. 8) outperforming the reference molecule NiPc-OMe by 110 mV. In terms of stability, four of them (nos. 2 and 8–10) significantly outperform the reference molecule, while three of them (nos. 1, 5, and 6) show a small compromise in stability but still outperform the unsubstituted NiPc. In summary, 7 of the 10 top-scoring molecules from the GA search are promising candidates for  $\text{CO}_2$  reduction reaction.

Some design strategies for NiPc can also be proposed based on the molecular structure of the search results: (i) introducing EDG can improve long-term stability, (ii) including different types of substituents with distinct electron push–pull effects asymmetrically can break the degeneracy of LUMO/LUMO+1 for a less negative reduction potential, and (iii) introducing a small number of strong EWG can further break the degeneracy of LUMO and maximize the molecular polarizability for enhanced noncovalent interaction with substrate.

## CONCLUSIONS

In summary, we studied the reaction mechanism of the  $\text{CO}_2$ RR to CO on NiPc by DFT calculation, with an emphasis on the preceding reductive activation. The electronic structure and

adsorption properties of the Ni–N<sub>4</sub> moiety, as well as how they are affected by substituents, are investigated in detail by analyzing molecular orbitals, generalized charge decomposition, electron density difference map, reduced density gradient map, electrostatic potential map, and symmetry-adapted perturbation theory energy decomposition. The adsorption property and structural stability of the Ni–N<sub>4</sub> moiety is found to be related to electron density near the Ni center, making it readily tunable by attaching EWG and EDG. The interaction between NiPc and the nanocarbon substrate is also investigated, and a correlation is discovered between the interaction energy and the charge transfer across the  $\pi$ – $\pi$  stacking interface. Such interaction strength is related to the polarizability of the molecule and the nature of the substituent instead of the electron push–pull effects on the Ni–N<sub>4</sub> moiety, opening up an additional dimension in molecular design. Finally, we developed a descriptor-based scheme for performance evaluation of the candidate molecule and screened through the chemical space of substituted NiPc using a genetic algorithm search with semiempirical quantum mechanical calculations and predictive deep neural network models. Several promising molecules are identified, with the best one outperforming the state-of-the-art reference molecule in stability and in reduction potential by 100 mV. Design strategies are proposed based on the top-down computational screening, and the workflow is highly generalizable and transferable to similar hybrid materials for various applications.

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcc.1c02508>.

Electron density map and color-filled cross section of the electron density increment of NiPc after reduction; molecular orbital isosurfaces and energy levels of NiPc, NiPc-OMe, and NiPc-CN; correlation plots; reduced density gradient isosurfaces showing substrate interactions at GFN2-xTB; validation of the trained machine learning models (PDF)

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### Notes

The authors declare no competing financial interest. The scripts for running genetic algorithm and deep neural network fitting, and the trained model.pkl files are available on: <https://github.com/zishengz/molga-mpc>.

## ■ ACKNOWLEDGMENTS

This work was financially supported by NSFC (No. 22022504) of China, the Guangdong “Pearl River” Talent Plan (No. 2019QN01L353), the Higher Education Innovation Strong School Project of Guangdong Province of China (2020KTSCX122), and the Guangdong Provincial Key Laboratory of Catalysis (No. 2020B121201002). The computational resource is supported by the Center for Computational Science and Engineering at SUSTech.

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